



PATENT  
Customer No. 22,852  
Attorney Docket No. 08350.3167

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: S. Walker et al.	)	
	)	
Application No.: 10/697,797	)	Group Art Unit: 3682
	)	
Filed: October 31, 2003	)	Examiner: Not yet assigned
	)	
For: AUTOMATED MANUAL	)	
TRANSMISSION AND SHIFT	)	
METHOD	)	
	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

On the PTO 1449, the article titled "The Kubota Power Synchroshift Transmission Transmission" was identified as relating to synchronization. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are

material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 8, 2004

By: 

Dustin T. Johnson  
Reg. No. 47,684

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08350.3167	APR 08 2004 PATENT & TRADEMARK OFFICE	Appln. No.	10/697,797
Applicant	S. Walker et al.			
Filing Date	October 31, 2003		Group:	3682

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,592,249	6/1986	Lehmann et al.			
	4,598,374	7/1986	Klatt			
	4,766,774	8/1988	Tamai			
	4,842,020	6/1989	Tinholt			
	4,977,992	12/1990	Ohtsuka et al.			
	4,981,202	1/1991	Leigh-Monstevens et al.			
	5,305,663	4/1994	Leonard et al.			
	5,335,566	8/1994	Genise et al.			
	5,389,053	2/1995	Steeby et al.			
	5,401,223	3/1995	White et al.			
	5,415,606	5/1995	Kovalsky et al.			
	5,416,698	5/1995	Hutchison			
	5,587,905	12/1996	Yesel et al.			
	5,611,245	3/1997	McKee			
	5,673,592	10/1997	Huggins et al.			
	5,738,607	4/1998	Kondo et al.			
	5,741,202	4/1998	Huber			
	5,766,111	6/1998	Steeby et al.			
	5,816,131	10/1998	Tornatore			
	5,819,585	10/1998	Darnell			
	5,819,590	10/1998	Kuwahara			
	5,832,777	11/1998	Weilant			
	5,867,092	2/1999	Vogt			
	5,868,033	2/1999	Nishino et al.			
	5,875,679	3/1999	Salecker et al.			
	5,899,829	5/1999	Salecker et al.			
	5,970,811	10/1999	Imao et al.			

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08350.3167	Appln. No.	10/697,797
Applicant	S. Walker et al.		
Filing Date	October 31, 2003	Group:	3682

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,000,294	12/1999	Jackson et al.			
	6,035,734	3/2000	Le Van			
	6,073,507	6/2000	Ota et al.			
	6,108,600	8/2000	Lehner et al.			
	6,234,061	5/2001	Glasson			
	6,257,082	7/2001	Ore			
	6,258,009	7/2001	Thomas et al.			
	6,269,708	8/2001	Engenhorst et al.			
	2002/0035013 A1	3/2002	Saito			
	6,361,473	3/2002	Mason et al.			
	6,370,980	4/2002	Olsson et al.			
	6,374,688	4/2002	Böckmann et al.			
	6,415,214	7/2002	Nishimura et al.			
	2002/0100658 A1	8/2002	Williams et al.			
	2003/0014173 A1	1/2003	Loeffler et al.			
	2003/0029261 A1	2/2003	DeJonge			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
0 410 593 B1	1/30/1991	Europe			
0 046 845 B1	3/10/1982	Europe			Abstract
0 161 521 B1	11/21/1985	Europe			
DE 37 43 860 A1	7/13/1989	Germany			Abstract
GB 2 226 092 A	6/20/1990	United Kingdom			
0 533 370 B1	3/24/1993	Europe			
0 565 257 A1	10/13/1993	Europe			

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08350.3167	Appln. No.	10/697,797
Applicant	S. Walker et al.		
Filing Date	October 31, 2003	Group:	3682

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		EP 0 601 741 B1	6/15/1994	Europe			
		GB 2 290 359 A	12/20/1995	United Kingdom			
		DE 196 01 291 A1	7/24/1997	Germany			Abstract
		EP 0 857 894 B1	8/12/1998	Europe			
		WO 99/32808	7/1/1999	WIPO			Abstract
		EP 1 020 666 B1	7/19/2000	Europe			
		WO 00/65299	11/2/2000	WIPO			
		EP 1 207 321 A2	5/22/2002	Europe			
		GB 2 370 326 A	6/26/2002	United Kingdom			
		GB 2 371 607 A	7/31/2002	United Kingdom			
		WO 03/018974	3/6/2003	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Okamoto et al., "The Kubota Power Synchroshift Transmission," SAE Technical Papers Series, 881288, September 12, 1988.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce